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Express Mail No. EV 456 933 256 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bibb *et al.*

Confirmation No. 8464

Serial No. 09/687,959

Art Unit 1632

Filed: October 13, 2000

Examiner Ram R. Shukla

For: METHODS FOR IDENTIFYING AGENTS THAT
REGULATE
PHOSPHORYLATION/DEPHOSPHORYLATION
IN DOPAMINE SIGNALING

Attorney Docket No. 11181-013-999

TRANSMITTAL

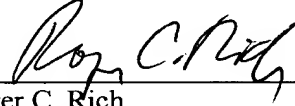
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Enclosed please find a Revocation and Power of Attorney in connection with the above-captioned application. Also enclosed is a copy of the Assignment duly executed by James A. Bibb and Paul Greengard conveying their rights under this application to The Rockefeller University which has been duly recorded at Reel 011639, Frame 0870 on March 19, 2001, with the United States Patent and Trademark Office.

Respectfully submitted,

Date: September 8, 2004



Roger C. Rich 54,398
Reg. No.
For: Nikolaos C. George (Reg. No. 39,201)

JONES DAY
222 East 41st Street
New York, New York 10017
(212) 326-3939



Express Mail No. EV 456 933 256 US
POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REVOCATION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Rockefeller University, Assignee, hereby revokes any and all previous powers and appoints:

☒ Practitioners at Customer Number 20583

as its attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please direct all correspondence address for the above-identified application to:

☒ The above mentioned Customer Number.

☒ Firm or Individual Name:

Address: Jones Day, 222 East 41st Street, New York, New York 10017

Telephone: (212) 326-3939

I am the:

- ☐ Applicant/Inventor
☒ Assignee of record of the entire interest. See 37 CFR 3.71.
(Statement under 37 CFR 3.73(b) is applicable)

SIGNATURE of Applicant or Assignee of Record			
Date:	25 Aug 2004	Signature:	Kathleen A. Denis
		Typed Name:	Kathleen A. Denis, Ph.D.
		Position/Title:	Associate Vice President
		Assignee:	The Rockefeller University

Statement Under 37 C.F.R. 3.73(b)

The Rockefeller University, Inc., states that it is:

- ☒ the assignee of the entire right, title, and interest; or
☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above, a copy which is attached hereto.

OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: To:
The document was recorded in the United States Patent and Trademark Office on at
Reel , Frame , or for which a copy thereof is attached.

2. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

3. From: To:
The document was recorded in the United States Patent and Trademark Office on
at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

ASSIGNEE:

The Rockefeller University

Date:

25 Aug 2004

Signature:

Kathleen A. Denis

Typed Name:

Kathleen A. Denis, Ph.D.

Position/Title:

Associate Vice President

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required.

☒ Total of 1 form is submitted.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 07, 2001

PTAS



101669286A

KLAUBER & JACKSON
DAVID A. JACKSON
411 HACKENSACK AVENUE, 4TH FLOOR
HACKENSACK, NEW JERSEY 07601

600-1-a57 CIP

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/19/2001

REEL/FRAME: 011639/0870
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BIBB, JAMES A.

DOC DATE: 02/23/2001

ASSIGNOR:

GREENGARD, PAUL

DOC DATE: 02/23/2001

ASSIGNEE:

ROCKEFELLER UNIVERSITY, THE
1230 YORK AVENUE
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER: 09687959
PATENT NUMBER:

FILING DATE: 10/13/2000
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED

JUN 14 2001

KLAUBER & JACKSON

✓

FORM PTO-1595
(Rev. 6/93)

04-05-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

2 SHEET

Attorney Docket No. 600-1-257 CIP

101669286

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James A. Bibb and Paul Greengard

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: February 23, 2001 (respectively)

2. Name and address of receiving party(ies):

Name: The Rockefeller University

Address: 1230 York Avenue

New York, NY 10021-6399

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/687,959

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary -
for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Davis, Reg. No. 39,161
Name of Person Signing

Michael D. Davis
Signature

3/14/01
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

04/03/2001 TDIAZ1 00000094 09687959

01 FC:581

40.00 OP

Assistant Commissioner For Patents
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, WE,

JAMES A. BIBB, a citizen of the United States, residing at
430 East 63rd Street, Apt. 8G
New York, New York 10021; and

PAUL GREENGARD, a citizen of the United States, residing at
362 East 69th Street
New York, New York 10021;

have invented certain new and useful improvements in

**METHODS OF IDENTIFYING AGENTS THAT REGULATE
PHOSPHORYLATION/DEPHOSPHORYLATION IN DOPAMINE SIGNALING**

for which we have filed an Application for Letters Patent in the United States on October 13, 2000, and was accorded U.S. Serial No. 09/687,959; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,
an education corporation organized and existing under
the laws of the State of New York,
with office at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

JAMES A. BIBB AND PAUL GREENGARD

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 2/23, 2001

James A. Bibb L.S.
JAMES A. BIBB

State of NY :

: ss.

County of NY :

Before me this 23 day of Feb 2001, personally appeared James A. Bibb, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Teresa L. Solomon
NOTARY PUBLIC

(Affix Seal Here)

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-498936
Qualified in New York County
Commission Expires Dec. 16, 2001

Date 2/23, 2001

Paul Greengard L.S.
PAUL GREENGARD

State of NY :
County of NY : ss.

Before me this 23 day of Feb 2001, personally appeared Paul Greengard, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Teresa L. Solomon
NOTARY PUBLIC

(Affix Seal Here)

TERESA L. SOLOMON
Notary Public, State of New York
No. 31-4989836
Qualified in New York County
Commission Expires Dec. 16, 2001